# Local Government Audit & Assurance

NTT

NTT Audit & Assurance delivers consulting and assurance capability to **Local Government** addressing **audit, risk management, governance and compliance** requirements including within the associated domain of **information security risk management and governance**.

Overview

NTT Audit & Assurance has extensive expertise in providing best practice audit, risk management and governance services to Audit & Risk Committees and Management of Local Government and associated organisations.

We have a core team of highly experienced, professionally qualified consultants who deliver services in an efficient manner, applying insights and practical recommendations to our clients.

NTT maintains a diverse range of general and specialised audit and assurance capabilities including:
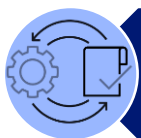
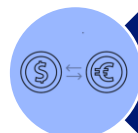- Internal Audit
- Probity Advisory & Audit
- Risk Management
- Data Analytics
- Fraud Prevention & Investigation
- Anti-Money Laundering
- Cybersecurity Resilience
- Financial Mngt Consulting

Best Practice Methodologies

NTT's Audit & Assurance methodologies are fully consistent with the International Professional Practices of the Institute of Internal Auditors (IIA) including the associated Standards and Guidance.

Depending upon the audit or assurance focus area we overlay relevant compliance standards including:

- Risk Management Standard (ISO 31000, COSO and CoBIT))
- Fraud Prevention & Control (AS8001 series and relevant government sources in jurisdictions)
- Information Security (ISMS 27001 & 27002, VPDSS)
- Cybersecurity (GTAG ISACA & IIA Guidance)
- Project Management (Prince2, PMBOK, Agile)

Staff Qualifications and Experience

NTT Audit & Assurance consultants have a minimum of 5 years professional experience in Internal Audit and associated services and our senior staff have over 20 years' specialisation in their areas of expertise.

Our staff are professionally qualified including Certified Internal Auditors (CIA), Chartered Accountants / Certified Practicing Accountants (CA/CPA) and including specialisations such as CRMA, CISO and ISACA.

We provide a dedicated team to our clients and our staff are continuously developed through training in best practices and emerging technologies. Staff mentoring and performance appraisal ensure we are focused on best outcomes for our clients.

NTT's Local Government Experience

NTT's Local Government and associated services experience includes:

- City of Melbourne
- Wyndham City Council
- Surf Coast Shire Council
- Maribyrnong City Council
- Lord Mayor's Charitable Foundation
- Queen Victoria Market
- Melton City Council

Case Study

Maribyrnong City Council – Cybersecurity Governance Healthcheck

Maribyrnong City Council (MCC) has invested significant resources in enhancing the approach to cybersecurity risk management. MCC has a small, but dedicated IT Management function.

The MCC Audit & Risk Committee (ARC) requested a cybersecurity governance healthcheck to assess cyber threat mitigation strategies and cyber resilience.

A healthcheck style approach featuring a light touch desktop review of key strategies, programs, policies and procedures and discussion with key managers was prepared. This was proposed to ensure an efficient utilisation of available audit resources and to minimise impact on MCC staff and business.

The approach included a gap analysis against the best practice requirements of the Australian Cyber Security Centre (www.cyber.gov.au) and relevant standards including VPDSS.

The Healthcheck Report was well received by the MCC ARC and Management with positive feedback received.

Questions to ensure internal audit is delivering value:

✓ Does internal audit understand the impact of emerging risk areas such as digitisation, outsourced technology provision, impact of remote working and service delivery in a pandemic?

✓ Does internal audit address necessary strategic and reputation risks including conflict of interest, media management, and stakeholder engagement targeted at council constituents?

✓ Do your internal auditors truly understand your risks, their management and challenges faced by you as a Local Government entity? Do you feel that the audit plan appropriately reflects the risks faced by you and incorporates Council's vision and future focus?

✓ Do your internal auditors make the most of data analytics to better understand root cause and data anomalies?

✓ Are you snowed under by all the Acts, Laws and Regulations you must constantly monitor and adhere to? Do you receive regular updates of findings from integrity agencies (i.e. VAGO, IBAC, Ombudsman etc)?

✓ Is your internal audit team graduate heavy or sufficiently experienced with Local Government?

For further information please contact:

Debra Robertson
Practice Director
debra.robertson@global.ntt
0410 046 829

Pat Scanlon
Senior Manager
pat.scanlon@global.ntt
0416 227 237

We are NTT

Together we help people, clients and communities move confidently into the digital future.

Everything we do is driven by our purpose, vision and shared values.

Our purpose

Contributing to society by resolving social issues through our business operations.

Our vision

Your valued partner that can be trusted and is committed to long term relationships.

Our shared values

Connect, Trust and Integrity inform all our decision making.

We believe that the best of human traits are brought to life by our company: authenticity, quality, commitment, trust and integrity.

We have a global, forward-looking view that is agile, dynamic, competitive and innovative. **We are NTT**.

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